

FAILURE TO CORRECT CIVIL PENALTY ASSESSMENT MATRIX - TABLE 1

THESE PENALTY GUIDELINES APPLY WHEN YOU DO NOT CORRECT A VIOLATION BY THE DATE INDICATED IN A NOTICE OF NONCOMPLIANCE UNDER 30 C.F.R. §§ 1241.50-1241.52

The Penalty Amount Applicable to Your Violation Type Accrues Daily Until the Violation is Corrected

| Violation Type | Very Small Business (<20 Employees) | Small Business (20-99 Employees) | Medium Business (100-499 Employees) | Large Business (>500 Employees) | How We Count the Number of Violations |
|--|--|--|---|--|---|
| Failure to submit or correct Oil and Gas Operations Reports, Form ONRR-4054 (OGORs). | \$1 ~ \$2 ~ \$10 | \$2 ~ \$4 ~ \$20 | \$3 ~ \$6 ~ \$30 | \$4 ~ \$8 ~ \$40 | Each line (well, disposition, and/or inventory) at issue per month. |
| Failure to submit or correct Reports of Sales and Royalty Remittance, Form ONRR-2014 (2014s). | \$2 ~ \$4 ~ \$20 | \$4 ~ \$8 ~ \$40 | \$6 ~ \$12 ~ \$60 | \$8 ~ \$16 ~ \$80 | Each line at issue per month. |
| Failure to submit or correct solid minerals reports including sales summaries (using Form ONRR-4440 or otherwise) and Solid Minerals Production and Royalty Reports (Form ONRR-4430 (P&Rs)). | \$3 ~ \$6 ~ \$30 | \$6 ~ \$12 ~ \$60 | \$9 ~ \$18 ~ \$90 | \$12 ~ \$24 ~ \$120 | Each line at issue per month. |
| Failure to comply with a final order or an appealed order not suspended under 30 C.F.R. Part 1243. | \$4 ~ \$8 ~ \$40 | \$8 ~ \$16 ~ \$80 | \$12 ~ \$24 ~ \$120 | \$16 ~ \$32 ~ \$160 | Per unresolved item for each product, month, and property. |
| Failure to pay non-royalty obligation. | \$10 ~ \$20 ~ \$100 | \$20 ~ \$40 ~ \$200 | \$30 ~ \$60 ~ \$300 | \$40 ~ \$80 ~ \$400 | Each unpaid or underpaid monthly non-royalty obligation. |
| Failure to produce records or requested information. | \$10 ~ \$20 ~ \$100 | \$20 ~ \$40 ~ \$200 | \$30 ~ \$60 ~ \$300 | \$40 ~ \$80 ~ \$400 | Each category of records or information requested. |
| Failure to timely file or update the Addressee of Record Designation for Service of Official Correspondence, Form ONRR-4444 (4444). | \$12 ~ \$25 ~ \$125 | \$25 ~ \$50 ~ \$250 | \$37 ~ \$75 ~ \$375 | \$50 ~ \$100 ~ \$500 | Each unfiled or substantially inaccurate 4444. |
| Failure to pay electronically. | \$37 ~ \$75 ~ \$375 | \$75 ~ \$150 ~ \$750 | \$112 ~ \$225 ~ \$1,125 | \$150 ~ \$300 ~ \$1,500 | Each payment submitted by non-electronic means. |
| Failure to properly identify payment. | \$37 ~ \$75 ~ \$375 | \$75 ~ \$150 ~ \$750 | \$112 ~ \$225 ~ \$1,125 | \$150 ~ \$300 ~ \$1,500 | Each payment submitted without identifying information. |

Notes:

- The amounts in this Table are guidelines to foster penalty amount consistency. Bolded figures are the applicable standard assessments assuming no prior history of noncompliance. Upward and downward departures (aggravating and mitigating circumstances) must be justified in the case file and approved by the supervisor.
- The assessed penalty amount will be doubled after 40 days of continued noncompliance (from the date the Notice of Noncompliance was received).
- The civil penalty rates for repeated violations are doubled for second violations, tripled for third violations, and the rates similarly increased for four or more violations, subject to the maximum amounts provided in 30 C.F.R. § 1241.52.
- This Table will be updated periodically in accordance with 30 C.F.R. § 1241.70.

Approved Effective July 22, 2025